July 29, 2006

## DECLARATION

The undersigned, Jan McLin Clayberg, having an office at
5316 Little Falls Road, Arlington, VA 22207-1522, hereby states
that she is well acquainted with both the English and German
languages and that the attached is a true translation to the best
of her knowledge and ability of International Patent Application
PCT/DE 2005/050991 of NIEM, W., et al., entitled "SECURITY SYSTEM
AND METHOD FOR OPERATING IT".

The undersigned further declares that the above statement
is true; and further, that this statement was made with the
knowledge that willful false statements and the like so made are
punishable by fine or imprisonment, or both, under Section 1001
of Title 18 of the United States Code and that such willful false
statements may jeopardize the validity of the application or
document or any patent resulting therefrom.

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Jan McLin Clayberg

# SECURITY SYSTEM AND METHOD FOR OPERATING IT

Prior Art

5      The invention relates to a security system as generically defined by the preamble to claim 1 and to a method for operating the security system as generically defined by the preamble to claim 4. Such security systems are often equipped with stationary cameras. Detecting movement or change with stationary cameras is a basic function of systems for radio-based

10      security technology. The products range from surveillance cameras that issue alarms to digital video recorders which allow a content-based search for moving objects. Detecting moving objects is also a basic function in analyzing image sequences and is thus an important component for instance of systems for man-machine interaction (such as gesture control)

15      or biometric systems (for instance, face detection with ensuing face recognition).

Both the systems described in the scientific literature and those on the market for detecting moving objects implicitly or explicitly use a camera

20      sensor model which assumes that the time-related noise in a pixel ("pixel noise") is independent of the gray value. Such systems are described for instance in the following places in the literature:

A. Elgammal, D. Harwood, L. Davis, "Non-Parametric Model for

25      Background Subtraction", FRAME-RATE workshop, 1999.

K. Toyama, J. Krumm, B. Brumitt and B. Meyers, "Wallflower: Principles and Practice of Background Maintenance", ICCV 1999.

A. Elgammal, R. Duraiswami, D. Harwood, L. Davis, "Background and Foreground Modeling Using Nonparametric Kernel Density Estimation for Video Surveillance", Proc. of the IEEE, Vol. 90, No. 7, July 2002, pp. 1151-1163.

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M. Meyer, M. Hötter, T. Ohmacht, "A New System for Video-Based Detection of Moving Objects and its Integration into Digital Networks", in Proceedings of IEEE Intern. Conference on Security Technology, Lexington, USA, 1996, pp. 105-110.

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T. Aach, A. Kaup, R. Mester, "Change Detection in Image Sequences using Gibbs Random Fields: A Bayesian Approach", Proceedings Intern. Workshop on Intelligent Signal Processing and Communication Systems, Sendai, Japan, Oct. 1993, pp. 56-61.

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The assumption of gray value independent of the pixel noise in the prior art is clearly incorrect, especially in the widely used sensors employing CCD technology. Instead, in reality, an increase in the noise variance of a pixel with the corresponding gray value must be expected. The usual

20 simplifying assumption in the industry of pixel noise independent of the gray value has an adverse effect on the performance of the entire security system. For instance, in conventional security systems this assumption means that there must be a fixed decision threshold relating to the gray value, if a distinction is to be made between a gray value change because

25 of sensor noise and a gray value change because a moving object has been detected. However, since the noise behavior in most image sensors is gray value-dependent, this means that the aforementioned decision threshold set is too sensitive for bright image regions and too insensitive for dark image regions.

Advantages of the Invention

The security system of the invention having the characteristics of claim
5    1, conversely, leads to a substantial improvement over conventional
security systems. Because the decision threshold is designed to be gray
value-dependent, the security system can be better adapted to both bright
and dark image regions. This leads to substantially more-enhanced
sensitivity of the security system. Because a gray value-dependent noise
10    behavior is taken into account in defining the decision threshold, it is now
possible even to detect dark objects in dark image regions, without
generating mistaken detections caused by pixel noise in bright image
regions. Advantageously, the detection precision is thus increased without
causing an increase in the rate of mistaken detections. The lowest possible
15    rate of mistaken detections, however, is of especially great significance in
security technology.

Drawings

20    The invention is described in further detail below in conjunction with the
drawings.

Fig. 1, in a graph, shows the variance of the noise value as a function of
the gray value g;
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Fig. 2 shows the display of the gray value-dependent noise of a CCD
camera;

Fig. 3 shows one image of an image sequence that includes a plurality

of images;

Fig. 4 shows one exemplary embodiment of the security system of the invention;

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Fig. 5 is a flow chart; and

Fig. 6 is a further flow chart.

10      Description of the Exemplary Embodiments

The assumption of gray value independent of the pixel noise in the prior art is clearly incorrect, especially in the widely used sensors employing CCD technology. Instead, in reality, an increase in the noise variance of a

15      pixel with the corresponding gray value must be expected. The usual simplifying assumption in the industry of pixel noise independent of the gray value has an adverse effect on the performance of the entire security system. For instance, in conventional security systems this assumption means that a fixed decision threshold relating to the gray value is

20      understood if a distinction is to be made between a gray value change because of sensor noise and a gray value change because a moving object has been detected. However, since the noise behavior in most image sensors is gray value-dependent, this means that the aforementioned decision threshold set is too sensitive for bright image regions and too

25      insensitive for dark image regions. This situation is illustrated in Fig. 1. In the graph shown in Fig. 1, the variance of the noise value is plotted as a function of the gray value g of the kind measured for a typical CCD camera. It can be seen from the measured values that the noise variance increases essentially linearly with the gray value g. This effect is displayed in Fig. 2.

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Fig. 2, gray-value-coded, shows the variance of the pixel noise that would be determined by evaluating a sequence (of approximately 30 images) from a static scene. Bright pixels represent a high noise variance, and dark pixels represent a low noise variance. The image sequence itself shows the same picture content in all the images. A single image in this sequence is shown in Fig. 3.

If the noise variance were gray value-independent, then Fig. 2 would have to represent an unstructured gray area. As can be seen from this drawing, however, the noise variance depends on the gray value of the pixels in the image sequence. As a consequence of this dependency, bright objects in the image sequence (see Fig. 3) also appear bright in Fig. 2 (high noise variance). The dark areas in Fig. 2 result from overloading effects in the original sequence, or in other words sticking of pixels at a fixed gray value.

An optimal decision threshold would be gray value-dependent and would correspond in its qualitative course to the course of the curve marked "noise variance over the gray value"; that is, for dark image regions, the threshold would be lower than for bright pixels. In the case of a sensor with a linear course of this curve (see also Fig. 1), the decision threshold would also have to exhibit a linear behavior over the gray value.

The security system of the invention having the characteristics of claim 1 conversely leads to a substantial improvement over conventional security systems. The invention is based on the recognition that substantially better results can be attained if the decision threshold is adapted adaptively. Because the decision threshold is now designed to be gray value-dependent, the security system can be better adapted to both bright and

dark image regions. This leads to substantially more-enhanced sensitivity of the security system. Because a gray value-dependent noise behavior is taken into account in defining the decision threshold, it is now possible even to detect dark objects in dark image regions, without generating mistaken

5    detections caused by pixel noise in bright image regions. Advantageously, the detection precision is thus increased without causing an increase in the rate of mistaken detections. The lowest possible rate of mistaken detections, however, is of especially great significance in security technology.

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One exemplary embodiment of the security system 100 according to the invention and its operating phases will be described below, in conjunction with Fig. 4 and the flow charts shown in Figs. 5 and 6. The security system includes two subsystems 101 and 102. The first subsystem is substantially

15    in operation during a first operating phase, while the second subsystem is active during a second operating phase.

The security system 100 includes at least one camera 3 with an image sensor 4, and this camera is associated with both subsystems 101, 102 and

20    is active in both operating phases of the security system 100. The security system 100 also includes a plurality of function modules 1, 6, 8, 9, 15, which are linked in terms of circuitry or at least functionally to the camera 3. The subsystem 101, besides the camera 3, includes a function module 1 with a light source. The brightness of this light source is controllable as a

25    function of time. The subsystem 101 further includes a function module 6 for displaying a digital image sequence from the output signals of the image sensor of the camera 3. Finally, the subsystem 101 includes a function module 8 for displaying the noise variance as a function of the gray value from the digital image sequence. The subsystem 102, besides the camera 3

with the image sensor 4, includes a function module 13, which in turn comprises two function modules 13a, 13b. The function module 13a serves to calculate or estimate the gray value variance from the output signals of the image sensor 4 of the camera 3. The function module 13b makes a

5     comparison with a threshold value possible. The security system 100 further includes a memory 9, to which both subsystems have access.

In this security system 100, two operating phases can be distinguished, which will now be discussed in succession. In the first operating phase,

10    initialization of the security system 100 is done in the offline mode (flow chart in Fig. 5). In a second operating phase, the security system 100 takes up its security task in the online mode (flow chart in Fig. 6). In the first operating phase of the security system 100, it is essentially the subregion 101 that is active, while in the second operating phase, it is the subregion

15    102 that is active. Below, first, the first operating phase of the security system 100, which is shown in the subregion 101, will be explained. During the initializing phase, the noise variance is determined by a measuring system as a function of a gray value of the image sensor 4 located in the camera 3. For this purpose, a light source is furnished by the function

20    module 1 and makes it possible to stimulate the camera 3 having the image sensor 4. The brightness of the light source in the function module 1 is increased in small increments as a function of time, and after each increase it is kept constant for a predeterminable length of time. This results in the stairstep curve, represented in the function module, for the course of the

25    brightness. After the pickup (step 50 in Fig. 5) of the light signals generated by the function module 1 by means of the camera 3 and digitization (step 51 in Fig. 5) of the output signals of the image sensor 4 of the camera 3, a digital image sequence is then present in the function module 6, after the complete execution of the stimulation of the camera 3. The evaluation of

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this image sequence (step 52 in Fig. 5) in the function module 8 leads to a characteristic curve that represents the noise variance of the image sensor 4 as a function of the gray value g. This characteristic curve is stored in a memory 9 (step 53 in Fig. 5), with the image sensor 4 used being indicated,

5    and is now available to the security system 100 for ongoing operation. The qualitative form of this curve, in particular, forms an important basis for reliable detection of an object in the area to be monitored. The shape of the characteristic curve is used to adapt the characteristic of the gray-value-dependent decision threshold adaptively accordingly. For cameras with

10   automatic camera regulation, in an advantageous further feature of the invention, the memory 9 is additionally supplied with data which represent the dependency of the noise variance on the gray value for the various camera parameters.

15       The second operating phase of the security system 100 is schematically shown in the subregion 102 of the drawing. The system operates in ongoing operation as follows. A natural scene (recording field 10) that corresponds to the area being monitored is examined in terms of the scene contents for whether a change in pixels of the images taken by the camera 3 is

20   occurring because of sensor noise, or because of a moving object. Once the natural scene 10 has been recorded (step 60 in Fig. 6) and after ensuing digitization (step 61 in Fig. 6), a digital image sequence is available, which is now analyzed by a function module 13 for the presence of moving objects, such as people, vehicles, or other things. To that end, in

25   a function module 13a, the gray value variance is first calculated or estimated (step 62 in Fig. 6). The methods described in the literature calculate or estimate, from chronologically successive images, first the gray value variance for each pixel, which is composed additively of one component that can be ascribed to pixel noise and one component that can

be ascribed to motion in the scene. If there is a significant change in a pixel that does not fit the noise model of the pixel, then on the basis of a threshold value decision in the function module 13b it is decided (step 63 in Fig. 6) that a moving object is present. The threshold value in the method of

5    the invention is not predetermined identically for all gray values, as has conventionally been done until now. but instead is adapted adaptively from the sensor characteristic curve ascertained in the function module 8 and stored in the memory 9.

10    In a further step (step 64 in Fig. 6), a mask is generated in order to mark a motion in the area being monitored as a segmentation result 15. The person in the right foreground is clearly apparent.

As described above, it is useful during the initializing phase for data

15    about the operating state of the sensor 4 as well as camera parameters, such as the amplification, to be forwarded to the function module 8 that determines the noise curve, so that possible changes in the gray-value-dependent noise characteristic can be taken into account. For instance, it is possible that the amplifier noise of the image sensor 4, in low light

20    conditions, will cover the noise in a picture element and thus change the gray-value-dependent of the noise. To make it possible to utilize this option even during ongoing operation, the operating state of the image sensor 4 must be forwarded to the function module 13b for the threshold value decision.

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The essential nucleus of the invention is thus the use of an adaptive, gray-value-dependent threshold value decision for detecting objects. By this provision, the performance and precision of recognition by such a security system is enhanced substantially. The threshold values are expediently

measured in advance in the form of characteristic curves as a function of
the gray value and of the camera parameters and are stored in a memory 9.